

1 Complimentary Surface Charge for Enhanced Capacitive
2 Deionization

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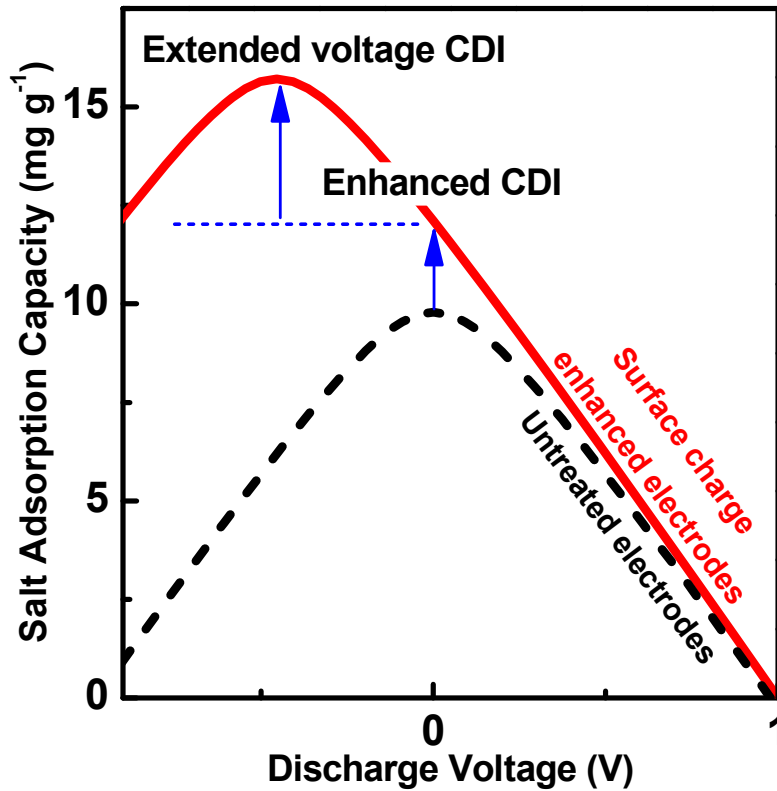
1 1. INTRODUCTION

2 Rapid growth in population, urbanization, and industrialization requires reliable water
3 resources. Even though two-thirds of our world's surface is covered by water, only about
4 3% of that water can be directly consumed. Therefore, water quality and scarcity have
5 become two of the most important global challenges of our time.(Elimelech and Phillip
6 2011, Schwarzenbach et al. 2010, Subramani and Jacangelo 2015) In addition to these
7 issues, current water treatment standards such as multi-stage flash distillation and reverse
8 osmosis are costly to implement and operate.(Avlonitis et al. 2003, Junjie et al. 2007) As
9 a consequence, investigations into alternative desalination options are being explored in
10 an effort to build sustainable water systems.(Suss et al. 2015)

11 Capacitive deionization (CDI) is a reversible adsorption desalination technology
12 using highly porous carbon electrodes.(Anderson et al. 2010, Blair and Murphy 1960) By
13 regulating applied voltages to a CDI cell, ionized salts can be trapped and released in the
14 electric double layers (EDLs) at carbon electrodes, leading to water being desalinated
15 through the use of a regenerative separation cell.(Farmer et al. 1996, Oren and Soffer
16 1978) Therefore, CDI technology may have potential advantages over current
17 desalination methods in that no heat treatment or high pressure is required, which may
18 lead to a significant decrease in the operational and energy costs compared to current
19 desalination processes, aiding in the production of clean/fresh water.

20 In literature, although many experimental studies on CDI architectures (such as
21 membrane-assisted CDI and flow-electrode CDI) and operational methods (such as
22 constant-voltage and -current CDI operation) have been performed,(Bian et al. 2015,
23 Gendel et al. 2014, Hatzell et al. 2014, Jande and Kim 2013, Lee et al. 2014, Omosebi et

1 al. 2015, Seo et al. 2010, Suss et al. 2012, Suss et al. 2015, Zhao et al. 2013), there is no
2 doubt that salt removal of a CDI cell relies strongly on the physical and chemical
3 properties of the carbon electrodes. For example, Porada *et al.* comprehensively studied
4 the physical structure of carbon electrodes and concluded that the salt adsorption capacity
5 could be dramatically improved with an increase in the carbon electrode's
6 microporosity.(Porada et al. 2013) In addition to the porosity, Gao *et al.* examined carbon
7 electrodes with enhanced chemical surface charge, leading to a recently developed
8 inverted CDI process.(Gao et al. 2015b) Their work was preceded by many earlier studies
9 regarding the potential of zero charge (E_{PZC}) and point of zero charge (pH_{PZC}).(Avraham
10 et al. 2011, McCafferty 2010) Thus, there is clear evidence that both carbon porosity and
11 chemical surface charge are among the most effective parameters for designing and
12 preparing carbon electrodes for CDI applications.



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2 **Figure 1.** Predicted salt adsorption capacity (SAC) as a function of discharge voltage for
 3 a CDI cell. This prediction is performed using the amphoteric Donnan (amph-D) model
 4 when an influent salt concentration $c_{inf} = 20 \text{ mM}$, Stern capacitance $C_S = 170 \text{ F mL}^{-1}$, and
 5 charging voltage $V_{ch} = 1.0 \text{ V}$. The black dashed line is a calculation for a CDI cell using
 6 untreated carbon electrodes with a chemical surface charge of 0 (zero) at both the anode
 7 and cathode, $\sigma_{chem,A} = \sigma_{chem,C} = 0$. The red solid line presents the result for a CDI cell
 8 using surface charge enhanced electrodes when $\sigma_{chem,A} = -\sigma_{chem,C} = 400 \text{ mC}$. These
 9 parameters have been used in ref. (Biesheuvel et al. 2015).

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1 Besides the various experimental works on carbon properties, theoretical studies
2 have been performed to understand salt removal at the EDLs in carbon electrodes through
3 the Gouy-Chapman-Stern and Donnan models.(Biesheuvel et al. 2014, Tang et al. 2015,
4 Zhao et al. 2010) In particular, the Donnan model has been successfully used to describe
5 salt adsorption and charge storage in CDI electrodes. Recently, combined with “chemical
6 surface charge”, the Donnan model is able to theoretically interpret the inverted CDI
7 behavior observed from several experimental studies using different types of carbon
8 electrodes.(Bouhadana et al. 2011, Cohen et al. 2011, Duan et al. 2015, Gao et al. 2014,
9 Wu et al. 2015) This modified model is termed the amphoteric-Donnan (amph-D)
10 model.(Biesheuvel 2015) As shown in Fig. 1, the amph-D model predicts salt removal
11 behavior as a function of discharge voltage in a CDI cell. Interestingly, this prediction
12 demonstrates that the use of surface charge enhanced electrodes results in an improved
13 salt adsorption capacity, SAC, due to the “enhanced CDI” (e-CDI) effect, and additional
14 improved SAC owing to the “extended voltage CDI” (eV-CDI) effect.(Biesheuvel et al.
15 2016, Biesheuvel et al. 2015)

16 In this paper, results are presented where commercially available carbon
17 electrodes were treated using amine and acid solutions, resulting in both positive and
18 negative surface charge enhanced electrodes. Through quantitative characterizations of
19 both carbon pore volume and chemical surface charge for both the untreated and treated
20 electrodes, we are for the first time experimentally demonstrating and validating both the
21 enhanced SAC and extended working voltage window from predictions generated from
22 the amph-D model. Based upon these combined experimental and theoretical studies,
23 new insights will be provided on proper routes to characterize novel and functionalized

1 carbon electrodes for various CDI applications such as conventional, flow-electrode,
2 membrane, and inverted CDI cells.

3 2. EXPERIMENTAL

4 *2.1 Electrode Preparation* - Pristine SpectraCarb (SC) (Engineered Fibers Technology,
5 LLC, Connecticut, USA) electrodes were modified by nitric acid (70%, Sigma-Aldrich)
6 and ethylenediamine ($\geq 99\%$, Sigma-Aldrich) solutions resulting in the chemical surface
7 charge of the electrodes being negatively and positively enhanced, respectively. Similar
8 preparation has been introduced ref. (Yang et al. 2013). In our preparation, 30 g of as-
9 received SC electrodes were placed into a container in which 400 mL of nitric acid was
10 added. After immersion at room temperature for 24 hours, to remove any residual acid,
11 the SC electrodes were washed with a significant amount of deionized water followed by
12 drying at 280°C in air for 72 hours. Following on from the acid treatment, another glass
13 container with 400 mL of N₂ purged ethylenediamine was heated to around 105°C in a
14 silicone oil bath to further treat the acid-treated electrodes. This heating step was
15 continued until all of the ethylenediamine solution completely evaporated. The carbon
16 was further cleaned with deionized water, and subsequently dried at 105°C under N₂
17 atmosphere in a convection oven. To configure a conventional CDI cell, the nitric acid
18 modified SC with negatively enhanced surface charge was used as the cathode (N-SC)
19 while the ethylenediamine modified SC with positively enhanced surface charge was
20 employed as the anode (P-SC).

21 *2.2 Porosity and Surface Morphology* - A porosity and surface area analyzer (ASAP2020,
22 Micrometrics) was used to measure the N₂ adsorption-desorption isotherms for each
23 sample. This measurement was carried out at 77 K using 0.1 g of sample degassed at

1 200°C overnight. The resulting isotherms were used to calculate the porosity of the
2 electrodes through the non-localized density functional theory (NLDFT) provided by
3 Micromeritics. In addition, a scanning electron microscope (SEM) (S-4800, Hitachi) was
4 used to visualize the surface morphology of each sample.

5 *2.3 Chemical Surface Charge and Surface Species* - An automatic titration station (888
6 Titrand, Metrohm) was used to measure the acidic and basic surface properties of the
7 samples. In this test, 0.5 g of carbon sample was first immersed in 50 mL of 0.05 M
8 deaerated NaOH solution for several hours. To eliminate the influence of atmospheric
9 CO₂, the test was conducted under gaseous N₂. The resulting NaOH solution with the
10 carbon sample was slowly titrated by dosing 0.02 mL of 0.05 M HCl titrant every 3-5
11 min. To calculate the fixed chemical surface charge at the carbon surface, the above-
12 mentioned procedure was repeated but without any carbon sample. Following the
13 titrations, by the volume difference, Δv , in the titrant used with and without a sample at
14 the same pH value, the fixed chemical surface charge per carbon micropore volume,
15 σ_{chem} , was calculated via

$$\sigma_{\text{chem}} = (\Delta v c) / v_{\text{mi}} \quad [1]$$

16 where c is the concentration of HCl used as titrant and v_{mi} is the measured micropore
17 volume. (Babić et al. 1999, Noh and Schwarz 1990) A Fourier-transform infrared (FTIR)
18 spectrometer (Nicolet 6700, Thermo Scientific) was used to identify surface species for
19 each sample. FTIR samples were prepared with around 0.07 g of a mixture of carbon/KBr
20 at a ratio of 0.3 wt% (SC vs. KBr), and FTIR spectra were collected by co-adding 256
21 scans at a resolution of 4 cm⁻¹.

1 2.4 CDI Experimental Procedure - To study the salt removal performance, a flow system
2 was used, including a CDI cell, an in-line conductivity sensor, a peristaltic pump, and a
3 polyethylene tank. In this system, a flow-by CDI cell was employed. The CDI cell
4 structure and its components have been introduced in detail in ref. (Zhao et al. 2010). In
5 this study, a single-pass mode was used to evaluate CDI performance. A large volume of
6 solution of 5 mM deaerated NaCl was circulated through the CDI cell at a constant flow
7 rate of 15 mL min⁻¹. Due to the large volume of NaCl solution used, the influent salt
8 concentration at equilibrium, c_{inf} , after the adsorption and desorption profiles is
9 approximately equivalent to the concentration of the initial bulk solution. As a
10 consequence, the salt adsorption capacity, SAC or Γ , can be calculated by multiplying the
11 volumetric flow rate, Φ , by the integration of the concentration curve with time, t , using

$$\Gamma = (M/m)\Phi \int (c(t) - c_{st})dt \quad [2]$$

12 where M is the molecular weight of NaCl (58.44 g mol⁻¹), and m is the total mass of the
13 dry cathodes and anodes together used in the present tests. Similarly, the charge storage
14 capacity, Q , for both the charging and discharging steps was calculated by integration of
15 the current density curves stemming from the measured current divided by m . Finally, the
16 charge efficiency, Λ , is

$$\Lambda = \Gamma F / M / Q \quad [3]$$

17 where F is Faraday's constant (96485 C mol⁻¹).

18 3. THEORY

1 As indicated in refs (Biesheuvel et al. 2016, Biesheuvel et al. 2015), the Donnan model
2 was modified by including immobile chemical surface charge to study salt removal of a
3 CDI cell. In this modified model, by assumption, only the positive chemical charge is
4 placed in the anode micropores while only the negative chemical charge is located in the
5 cathode micropores. However, more realistically, due to the multiple-step treatments,
6 several types of functional groups could be formed in the carbon micropores, some which
7 may possess positive surface charges in one region and some which may have negative
8 surface charges at another region. As a consequence, in ref. (Biesheuvel 2015), based
9 upon the Donnan model including immobile chemical charge, the amph-D model was
10 proposed by assuming the existence of both positive and negative surface charges at a
11 carbon electrode.

12 In the amph-D model, mobile ionic charge due to cations and anions, $\sigma_{\text{ionic},j}$, is
13 balanced by not only electronic charge in the carbon matrix, $\sigma_{\text{elec},j}$, but also immobile
14 chemical surface charge, $\sigma_{\text{chem},j}$ in the micropores at the carbon electrode, which is given
15 by

$$\sigma_{\text{ionic},j} + \sigma_{\text{elec},j} + \sigma_{\text{chem},j} = 0 \quad [4]$$

16 where j represents the interfacial volumes in the carbon micropores. Based upon carbon
17 surface chemistry, these interfacial volumes can be hypothetically divided into an acidic
18 region, region A, with negative chemical surface charge, and a basic region, region B,
19 with positive chemical surface charge, which has been schematically presented in the
20 graphical abstract. As one example, the negative chemical surface charge can be due to
21 the formation of acidic functional groups such as carboxylic groups. Likewise, the

1 positive chemical surface charge may be attributed to the attachment of amines and/or
 2 can be due to the carbon basal planes themselves.(Boehm 1994, Leon y Leon et al. 1992,
 3 Montes-Morán et al. 2004) In addition, it is assumed that both regions A and B exist in
 4 the micropores at both the cathode and anode, and all regions are described by Eq. 4. In
 5 this study, the net chemical surface charge for each electrode was estimated by a
 6 potentiometric titration method, which has been presented in the experimental section.

7 Following the inclusion of charge balance in the amph-D model, the Donnan and
 8 Stern potentials in each region, $\Delta\phi_{D,j}$ and $\Delta\phi_{S,j}$, for a 1:1 salt such as NaCl, at equilibrium,
 9 are given by

$$\Delta\phi_{D,j} = -\operatorname{arcsinh}(\sigma_{\text{ionic},j}/2c_{\text{inf}}), \Delta\phi_{S,j} = (\sigma_{\text{elec},j}F)/(C_S V_T) \quad [5]$$

10 where C_S is the capacitance of the Stern layer and V_T is the thermal voltage, where $V_T =$
 11 RT/F . More detailed descriptions regarding $\Delta\phi_{D,j}$ and $\Delta\phi_{S,j}$ can be found in ref.
 12 (Biesheuvel 2015). In the Donnan model, the total ion concentration in the micropores,
 13 $c_{\text{ions},j}$, is given by

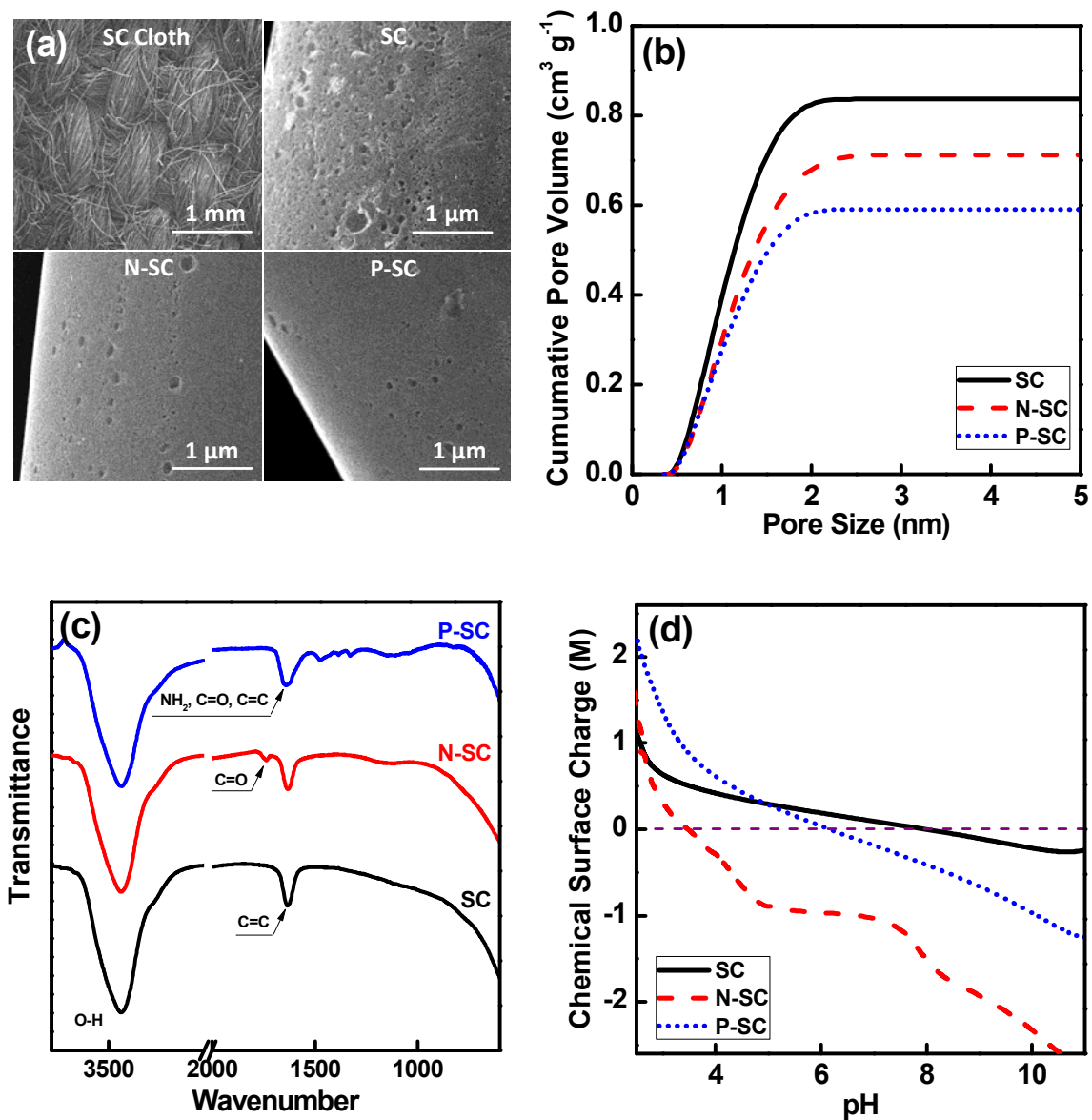
$$c_{\text{ions},j}^2 = \sigma_{\text{ionic},j}^2 + (2c_{\text{inf}})^2 \quad [6]$$

14 At the same electrode, regions A and B are coupled together via

$$c_{\text{ions},\text{mi}} = \sum_{j=\text{A,B}} \alpha_j c_{\text{ions},j}, \sigma_{\text{elec}} = \sum_{j=\text{A,B}} \alpha_j \sigma_{\text{elec},j} \quad [7]$$

15 where $c_{\text{ions},\text{mi}}$ is the micropore-averaged total ions concentration, α_j is the fraction of the
 16 total micropore volume, and σ_{elec} is the pore-averaged electronic charge density in the
 17 carbon electrode.

1 To solve the amph-D model, the values of $\sigma_{\text{chem},j}$ in each region at each electrode
2 and the value of C_s must be known. Furthermore, to convert calculated results to
3 measurable properties such as the total charge passed and SAC values, a total micropore
4 volume, v_{mi} , must be used, which is typically based on the experimentally obtained
5 cumulative pore volume, see Fig. 2(b). Details of calculations using the amph-D model
6 are also presented in ref. (Biesheuvel 2015).



1
 2 **Figure 2.** Physical and chemical characterizations of the treated and untreated carbon
 3 electrodes. (a) surface morphologies, (b) cumulative pore volumes, (c) surface chemical
 4 species, and (d) chemical surface charges of the SC, N-SC, and P-SC samples. The raw
 5 data such as the isotherms and titration results have been supplied in Fig. S1.

6

1 4. RESULTS AND DISCUSSION

2 In this work, carbon electrodes were synthesized with both negative and positive
3 chemical surface charges and subsequently characterized using both physical and
4 chemical methods. To validate a prediction generated from the amph-D model, these
5 electrodes were incorporated into CDI cells for evaluation of their salt removal capability
6 and their performance compared to that of untreated carbon electrodes. The following
7 sections detail the physical and chemical properties of the carbon used in this study as
8 well as their use for the removal of NaCl from solution.

9 *4.1 Electrode Characterizations* - Typical surface morphologies for the SC electrodes are
10 seen in Fig. 2(a). The electrodes used in this study are composed of compact bundles of
11 carbon fibers woven together, and this structure was maintained throughout the chemical
12 treatments. Furthermore, carbon fibers in each sample generally have very similar
13 physical structures, where many pores with micrometer ranges are found at the carbon
14 fiber surface. In addition, the surface of the as-received SC fiber is usually much rougher
15 compared to that of the treated samples. Changes in the total pore volume of the treated
16 electrodes are reflected via gas adsorption and desorption tests. As shown in Fig. 2(b) for
17 cumulative pore volumes, compared to the SC sample, the N-SC and P-SC pore volumes
18 were reduced from around 0.82 mL g⁻¹ to about 0.72 and 0.60 mL g⁻¹, respectively.
19 However, distinct microporosity still remained for both N-SC and P-SC as suggested in
20 their pore size distributions in Fig. S1.

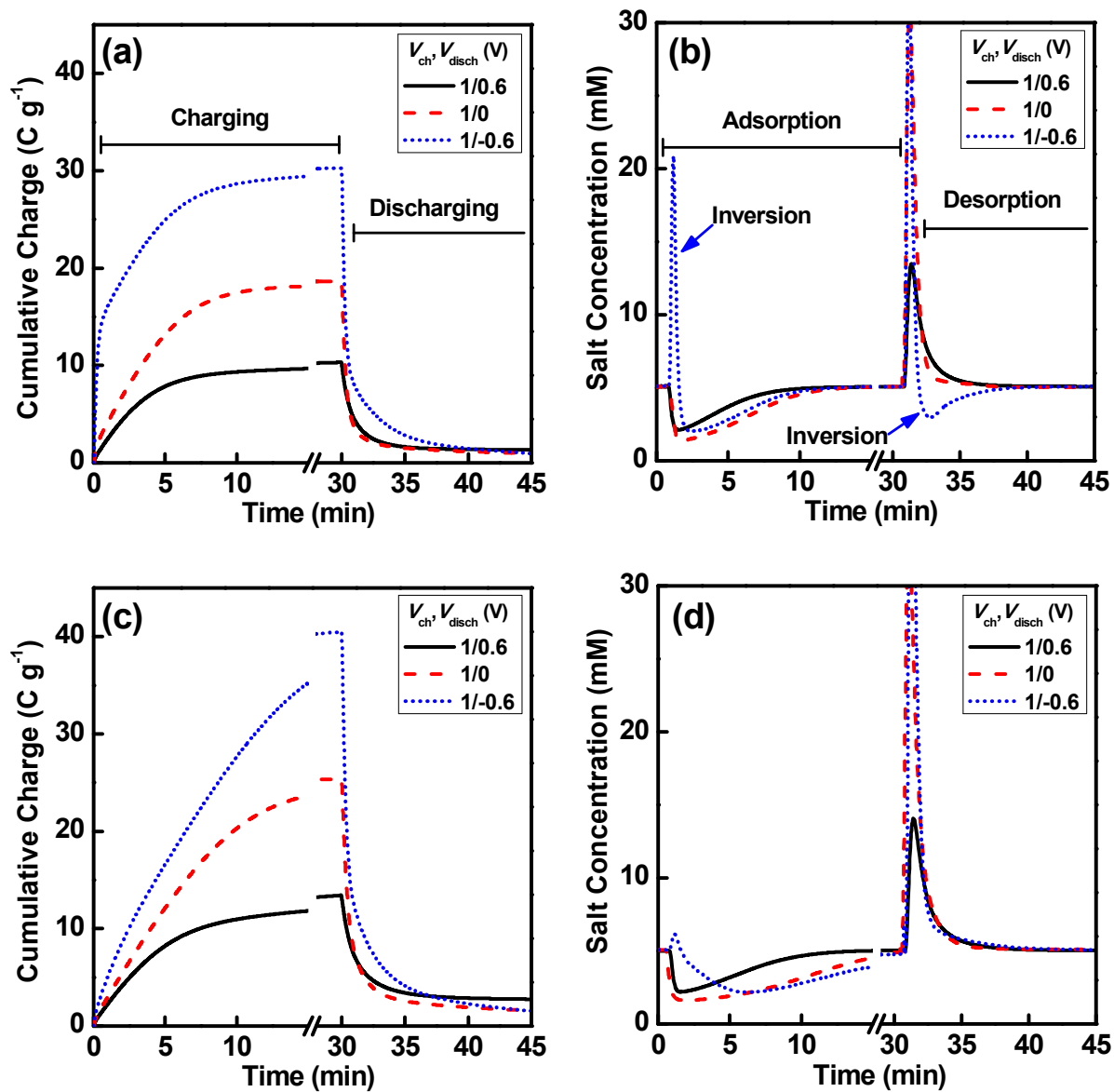
21 For these treated samples, not only porosity but also surface chemical species are
22 altered. Fig. 2(c) depicts typical FTIR spectra for the samples as expressed in terms of
23 transmittance. In addition to O-H and C=C stretching vibrations found at 3430 and 1600

1 cm^{-1} , respectively, for all the samples, newly formed bands reveal modifications in the
2 surface species of the SC after the chemical treatments. For instance, bands formed at
3 1730 and 1150 cm^{-1} in the N-SC spectrum denote C=O and C-O stretching, respectively.
4 This assignment indicates that carboxylic groups (-COOH) are attached on the N-SC
5 surface commensurate with region A in the amph-D model. In the P-SC spectrum, a
6 broad band between 1660-1580 cm^{-1} presents overlapped signatures for C=O stretching,
7 NH_2 scissoring, and C=C stretching.(Gao et al. 2015a) These characteristics indicate the
8 formation of amine (- NH_2) and/or amide (-CO-NH-) functional groups, which has been
9 documented elsewhere using similar treatments on carbon nanotubes and activated
10 carbons.(Gao et al. 2015a, Longhi et al. 2006, Pan et al. 2006, Vuković et al. 2010) The
11 presence of these overlapped signatures could correspond to both regions A and B in the
12 amph-D model.

13 In a salt solution, functional groups (such as -COOH and - NH_2) can be either
14 deprotonated or protonated, depending upon the solution's pH value.(Andreozzi et al.
15 2005, Gao et al. 2015a, Putra et al. 2009) These reactions result in fixed chemical charges
16 being formed at electrode surfaces, behaving like ion-exchange membranes and further
17 facilitating salt removal in CDI processes. To demonstrate changes in the chemical
18 surface charge through the carbon treatments, chemical surface charge is plotted as a
19 function of pH according to the potentiometric titration data in Fig. S1. As shown in Fig.
20 2(d), compared to the SC sample, enhancements in the chemical surface charge are
21 observed in the P-SC and N-SC samples. In particular, the P-SC sample displays a very
22 positive chemical surface charge at lower pH values. In contrast, the N-SC sample

1 reveals a much more negative chemical surface charge in the tested pH range, especially
2 at higher pH values.

3 Finally, through these characterizations, values such as the pore volume and
4 chemical surface charge will be shown together with the amph-D model to verify both the
5 e-CDI and eV-CDI effects. To facilitate discussion in the following text, CDI cells
6 formed with the untreated and treated SC electrodes are designated as “cell I” and “cell
7 II”, respectively.



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3 **Figure 3.** Cumulative charge and effluent salt concentration of a flow-by CDI cell using
4 5 mM deaerated NaCl solution at 15 mL min⁻¹. In this test, the charging voltage was fixed
5 at 1.0 V while the discharge voltage was varied from 0.6, 0, and -0.6 V (1/0.6, 1/0, and

1 1/-0.6 V). Each charging and discharging step took 30 min. (a) and (b) cell I, and (c) and
2 (d) cell II.

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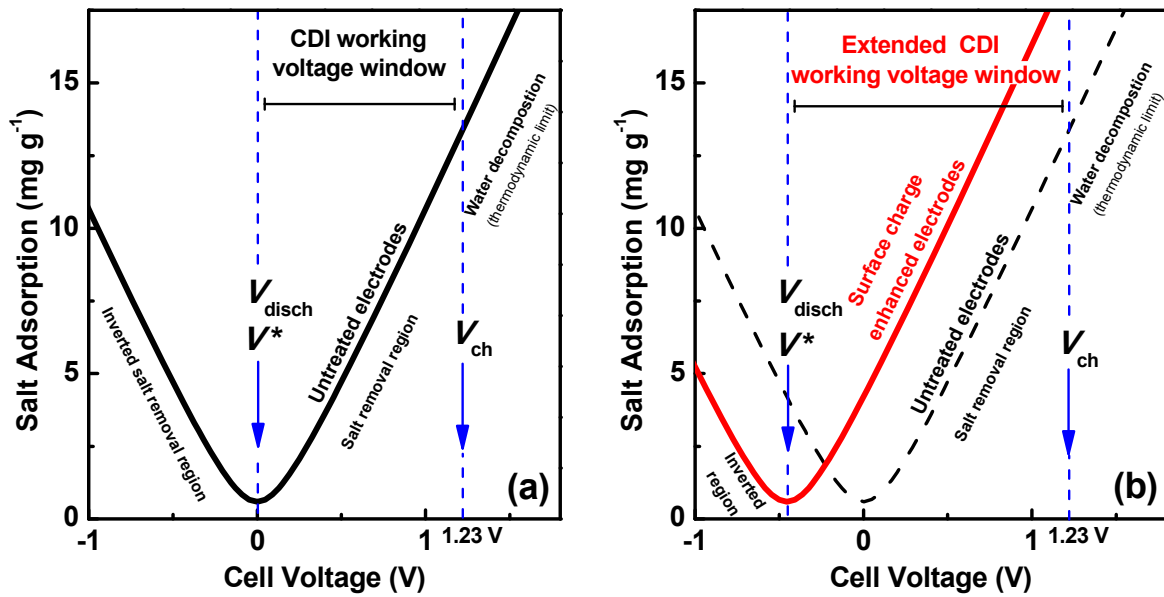
4 *4.2 Cycling Performance* - The characterized SC electrodes were used in a CDI cell. Fig.
5 3(a) and (b) depict cumulative charge and effluent salt concentration profiles for cell I at
6 1/0.6, 1/0, and 1/-0.6 V in 5 mM deaerated NaCl solution. Typical charge passed and salt
7 removal patterns are formed at 1/0.6 and 1/0 V, in which salt adsorption is reflected by a
8 decrease in the effluent concentration during charging while salt desorption is found with
9 an increase in the effluent concentration during discharging. However, when the cell was
10 operated at 1/-0.6 V, a distinct inversion peak and valley are highlighted at the beginning
11 of charging and discharging, respectively. The inversion peak is attributed to salt
12 adsorption during the previous discharging step. Similarly, the inversion valley is due to
13 salt desorption during the previous charging step. In addition, the inversion feature
14 detailed in this study describes the opposite functionality to a conventional CDI cell such
15 as salt desorption during charging and salt adsorption during discharging. Details
16 regarding the origin of this inversion will be provided later in the manuscript, i.e., Fig. 4.

17 Overall, cell I results in SAC values of 5.7, 9.6, and 4.3 mg g⁻¹ with the
18 corresponding total charges passed of 9.8, 19.0, and 31.0 C g⁻¹ at 1/0.6, 1/0, and 1/-0.6 V,
19 respectively. Under the same experimental conditions, in contrast to cell I, Fig. 3(c) and
20 (d) display improved cumulative charge and effluent concentration profiles for cell II. For
21 instance, the SAC values are boosted to 6.8, 15.4, and 16.7 mg g⁻¹ with the respective
22 total charges passed of 11.8, 25.9, and 41.6 C g⁻¹ at 1/0.6, 1/0, and 1/-0.6 V, respectively.

1 Furthermore, the inverted behavior in Fig. 3(b) nearly disappears in Fig 3(d) when using
2 the treated electrodes.

3

4 *4.3 Interpretation of CDI Results* - The results shown in Fig. 3 initiate an important topic
5 related to the working voltage window of a CDI cell. As depicted in Fig. 4, this working
6 voltage window is defined as the span between the charging voltage, V_{ch} , and discharge
7 voltage, V_{disch} , i.e., $V_{\text{ch}} - V_{\text{disch}}$, where the charging and discharge voltages mean cell
8 voltages during charging and discharging steps, respectively. The typical expectation is
9 that an increase in the charging voltage of a CDI cell can boost salt removal. However,
10 this increase will eventually be restricted by the potential for water splitting and carbon
11 oxidization/decomposition,(Cohen et al. 2013) which is detrimental to the SAC and
12 charge efficiency of a CDI cell. As a consequence, in order to extend the working voltage
13 window of a CDI cell, an alternative is to lower the discharge voltage, e.g., below the
14 short-circuit voltage.(Biesheuvel et al. 2016) In the following section, together with the
15 amph-D model, this alternative will be illustrated in detail. In fact, improved salt removal
16 due to a reduction in the discharge voltage has already been demonstrated in Fig. 3.



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3 **Figure 4.** Predicted salt adsorption (SA) as a function of discharge voltage for CDI cells
 4 using (a) $\sigma_{\text{chem,A}} = \sigma_{\text{chem,C}} = 0$, i.e., untreated electrodes, and (b) $\sigma_{\text{chem,A}} = -\sigma_{\text{chem,C}} = 400$
 5 mM, i.e., surface charge enhanced electrodes, where subscripts of A and C represent the
 6 anode and cathode, respectively. This prediction is carried out using the amph-D model
 7 with the same parameters as depicted in Fig. 1. SA differs from SAC introduced in Fig. 1,
 8 as SA is defined as the absolute amount of salt adsorbed at a certain cell voltage in a CDI
 9 cell, while SAC is described as the difference of two SA values, one at V_{ch} and another at
 10 V_{disch} .

11

12 As highlighted in Fig. 4, as function of the chemical surface charge of the carbon
 13 electrodes used in a CDI cell, the amph-D model predicts an important characteristic
 14 voltage, V^* , being the minimum of the curve of salt adsorption, SA, versus cell voltage.
 15 Mathematically, V^* is obtained from the hyperbolic function in Eq. [5]. Physically, V^*

1 indicates the discharge voltage at which a minimum adsorption, or maximum desorption
2 of salt, thus the least SAC, occurs in a CDI cell. Similar understanding can be gained
3 from the E_{PZC} for a single carbon electrode tested in a dilute salt solution (Bard and
4 Faulkner 2001, Levi et al. 2013) because when a potential applied to a carbon electrode
5 equals the E_{PZC} , the electrode has a least capacity for ion adsorption. In a CDI cell, there
6 are always two values of E_{PZC} , one associated with the cathode and another with the
7 anode. Indeed, these two values of E_{PZC} can theoretically be used in determining V^* in the
8 amph-D model. This combination dramatically simplifies the mathematical description
9 for a CDI cell, especially when the cathode and anode have different values of E_{PZC} or
10 different chemical surface charges.

11 Following from the discussion above, it is apparent that V^* is a fundamental
12 property of a CDI cell that can determine the extent of salt removal within the CDI
13 working voltage window. For instance, as shown in Fig. 4(a), if the CDI cell is
14 discharged below V^* , and charged at V^* , salt will be desorbed during charging and
15 adsorbed during discharging, resulting in inverted CDI functionality. In contrast, if a CDI
16 cell is charged above V^* , and then discharged at V^* , salt will be adsorbed during charging
17 and desorbed during discharging, resulting in conventional CDI functionality. (It should
18 be noted here that V_{ch} is always greater than V_{disch} according to Fig. 4.) As a consequence,
19 in order to achieve the highest SAC value, a CDI cell should always be operated in a
20 working voltage window such that the cell is discharged at V^* and charged just below the
21 potential for water splitting and/or carbon decomposition.

22 Interestingly, with an increase of the chemical surface, the amph-D model
23 predicts a gradual relocation of the V^* towards a lower voltage, see Fig. 4(b). This

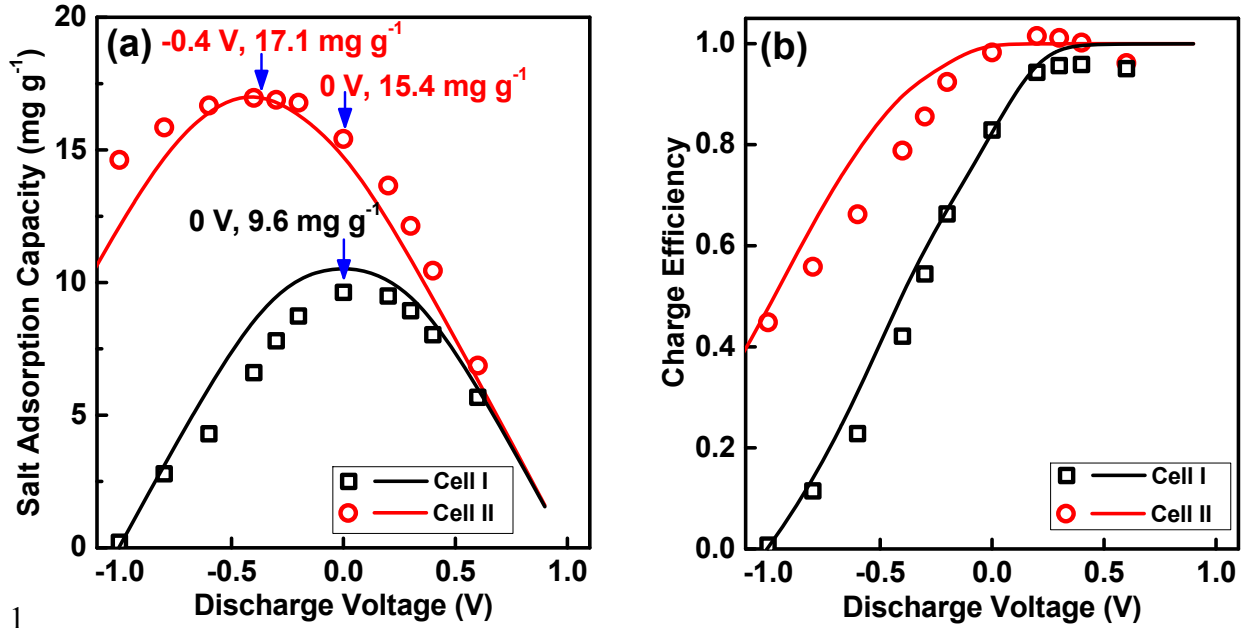
1 negative shift in V^* only depends on the chemical surface charge conditions of the carbon
2 electrodes used in a CDI cell (independent of CDI cell voltage). Therefore, it is
3 understood in Fig. 1 that the use of surface charge enhanced electrodes can shift the V^*
4 toward the negative direction (to a more negative cell voltage during discharge). This
5 shifting results in a broadened working voltage window for a CDI cell, increasing the
6 value of SAC due to both the e-CDI and eV-CDI effect.

7 To demonstrate both e-CDI and eV-CDI effects, cycling experiments were further
8 performed using cell I and II again in 5 mM NaCl solution when both the CDI cells were
9 charged at 1.0 V for 30 min and discharged from -1.0 to 0.6 V for another 30 min. (It
10 should be noted that 1.0 V as a charging voltage was selected (and not a higher cell
11 voltage) to avoid water splitting and minimize anode oxidation in the CDI cells.)
12 Relevant results are summarized in Fig. 5 in terms of SAC and charge efficiency as a
13 function of discharge voltage. As shown in Fig. 5(a), the experimental values for SAC
14 (symbols) are very similar to the prediction generated by the amph-D model in Fig. 1,
15 presenting a maximum SAC at a discharge voltage of 0 and -0.4 V for cell I and II,
16 respectively. As illustrated earlier for the eV-CDI effect, this negatively extended
17 discharge voltage should be primarily attributed to the chemical surface charge enhanced
18 electrodes configured in cell II, broadening the total working voltage window from 1.0 to
19 1.4 V based upon these two CDI cells. Correspondingly, the maximum SAC value
20 increases from 9.6 to 17.1 mg g⁻¹.

21 In the CDI literature, it is commonly thought that reduced pore volumes for
22 carbon electrodes primarily lead to a lower SAC value.(Porada et al. 2013) Therefore, it
23 would be expected that the SAC values associated with cell I would be greater than those

1 for cell II since the carbon electrodes in cell II have diminished pore volumes according
2 to Fig. 2(b). However, as shown in Fig. 5(a), it is the other way around, and the SAC
3 values for cell I are always smaller than those for cell II at the same charging/discharge
4 voltage. For instance, at a typical discharge voltage of 0 V, the SAC value is enhanced
5 from 9.6 mg g⁻¹ for cell I to 15.4 mg g⁻¹ for cell II. This enhanced SAC can be interpreted
6 by the e-CDI effect, which is fundamentally due to the surface charge enhanced
7 electrodes used in cell II. Thus, it is believed that to design carbon electrodes for CDI
8 applications, in addition to the pore size distribution, surface charge also plays a central
9 role in CDI cells, as underpinned theoretically by the amph-D model.

10 As shown in Fig. 5(b), a decrease in the discharge voltage leads to a decrease in
11 charge efficiency for both CDI cells. This reduced charge efficiency is mainly accounted
12 for by the inverted salt removal (or salt repulsion) depicted in Fig. 3(b), which occurs
13 when the discharge voltage is more negative than V^* . Furthermore, cell II generally
14 shows higher charge efficiency at each discharge voltage compared to cell I. This
15 improved charge efficiency should be due to the negative shifting of V^* by using the
16 surface charge enhanced electrodes. As discussed earlier, V^* is related to the E_{PZC}
17 associated with both the cathode and anode. Therefore, this type of behavior can also be
18 interpreted based upon the knowledge regarding E_{PZC} , which can be found in a CDI case
19 study in ref. (Gao et al. 2015a).



1

2 **Figure 5.** (a) Salt adsorption capacity and (b) charge efficiency as a function of
 3 discharge voltage for cell I and II. In these experiments, the CDI cells were charged at 1.0
 4 V for 30 min and discharged at different voltages for another 30 min. In these plots,
 5 symbols represent the experimental results obtained from cycling experiments, and lines
 6 denote the theoretical results calculated by the amph-D model using the fitting parameters
 7 in Table 1. In addition, charge passed as a function of discharge voltage has been plotted
 8 in Fig. S2.

9

10 To further demonstrate application of the amph-D model in describing the effect
 11 of chemical surface charge in CDI, several fitting parameters are selected to construct the
 12 theoretical lines via the equations introduced in the theory section. (It should be noted
 13 here that the fitting parameters for the pore volume are collected from the experimental
 14 results.) It can be seen that the selected fitting parameters given in Table 1 give results
 15 that are in reasonable agreement to both the experimentally obtained SAC and charge

1 efficiency values. However, in comparison to the measured chemical surface charge by
2 the potentiometric titrations in Fig. 2(d), to fit the data, the theory requires lower values
3 for the chemical surface charge than measured, especially for the cathode (N-SC). This
4 discrepancy suggests that (1) not all functional groups may be accessible or active in
5 CDI, and (2) the local pH in the micropores may be more extreme than that outside the
6 electrodes when potentials were applied to the electrodes, reducing the ionization degree
7 of the charges. Nevertheless, the titration performed in this study demonstrates a relevant
8 method to estimate the presence and concentration of surface groups, which can be
9 referenced and used for future studies regarding CDI electrodes.

10 Finally, we observe that the magnitude of the fitting parameters (such as pore
11 volume and chemical surface charge) generally agrees with the experimental
12 characterizations depicted in Fig 2. As a result, it is believed that these simple
13 experimental methods (such as gas adsorption/desorption and titration) are appropriate
14 for the characterization of electrodes for CDI applications.

15

16 **Table 1.** Fitting parameters used in the amph-D model to plot the theoretical results
17 shown in Fig. 5. A and B represent region A and B, respectively, and we assume $\alpha = 0.5$.
18 The values in the second row for cell II are the additional surface charges due to the use
19 of surface charge enhanced electrodes. The pore volumes are obtained from Fig. 2(b).
20 The Stern capacitance is very typical for the Donnan model in CDI,(Dykstra et al. 2016)
21 which also matches the values reported in the field of EDL-capacitors.

Cell I	Cell II
--------	---------

	Anode		Cathode		Anode		Cathode	
	(P-SC)		(N-SC)		(P-SC)		(N-SC)	
	A	B	A	B	A	B	A	B
Chemical Surface Charge, σ (M)	-0.20	0.20	-0.20	0.20	-0.20	0.20	-0.20	0.20
					+0.55	+0.55	-0.25	-0.25
Pore Volume, v_{mi} (mL g ⁻¹)	0.82				0.60		0.72	
Stern Capacitance, C_s (F mL ⁻¹)	135							

1

2

3

4 5. CONCLUSIONS

5 In this study, both experimental and theoretical methods were applied to understand
6 changes in salt removal in a CDI cell. Improved salt removal due to a reduction in
7 discharge voltage was clearly demonstrated using both untreated and treated (surface
8 charge enhanced) carbon electrodes. This demonstration is for the first time validating
9 both the e-CDI and eV-CDI effects predicted by the amph-D model, which states that in
10 addition to the pore size distribution of the carbon electrode, carbon surface chemistry
11 also lies at the foundation of salt removal in CDI cells.

12 Following from the above-mentioned conclusions, it is considered that both the
13 carbon's pore size distribution and chemical surface charge should be optimized when

1 designing novel/new carbon electrodes for CDI applications, including membrane-
2 assisted, flow-electrode, and inverted CDI cells. These two parameters can be simply
3 measured by gas adsorption/desorption, potentiometric titration, cyclic voltammetry and
4 electrochemical impedance spectroscopic techniques, and from the resulting pore
5 volume, chemical surface charge and E_{PZC} , together with the amph-D model, it is possible
6 to properly predict the salt adsorption capacity, charge passed, and charge efficiency for
7 CDI cells.

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